FN 3736

PTO/SB/21 (09-04)

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	Application Number	10/533,519			
TRANSMITTAL	Filing Date	August 01, 2006			
FORM	First Named Inventor	Georg N. Duda			
	Art Unit	3736			
(to be used for all correspondence after initial filing)	Examiner Name	Not Yet Assigned			
Total Number of Pages in This Submission	Attorney Docket Number	5312-053065			
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Fee Transmittal Form	Drawing(s)	After Allowance communication to TC			
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final	Petition to convert to a Provisional Application	Proprietary Information			
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter			
Extension of Time Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):			
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Response to Missing Parts Under 37 CFR 1.52 or 1.53					
Under 37 CFR 1.32 or 1.33					
SIGNATURE O	F APPLICANT, ATTORNEY, C	DR AGENT			
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Printed Name Richard L. Byrne					
Date January 3, 2007 Reg. No. 28,498					
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Typed or printed name Lisa A. Miller	Typed or printed name  Lisa A. Miller  Date January 3, 2007  This collection of information is counted by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to				

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{W0325630.1}

Application No. 10/533,519 Paper Dated January 03, 2007 Attorney Docket No. 5312-053065

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

plication No. : 10/533,519

Applicants: Georg N. Duda et al.

Filed : August 1, 2006

Title : Method For Simulating Musculoskecletal Strains On A

Patient

Art Unit : 3736

Examiner Name : Not Yet Assigned

Confirmation No. : 1658

Customer No. : 28289

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## INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby submit this Information Disclosure Statement together with a completed Form PTO/SB/08a-b and a copy of the foreign patent documents and articles listed thereon. The cited U.S. Patents are not enclosed pursuant to the waiver of the requirement under 37 C.F.R. §1.98(a)(2)(i) for U.S. national patent applications filed after June 30, 2003.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 03, 2007.

Lisa A. Miller
(Name of Person Mailing Paper or Fee)

Lina I un III i

1/03/2007 Date

Application No. 10/533,519 Paper Dated January 03, 2007

Attorney Docket No. 5312-053065

This application is the U.S. national phase of PCT Application No.

PCT/DE04/00839, filed April 16, 2004, corresponding to German Application 103 18 887.8,

filed April 17, 2003, and German Application 103 31 110.6, filed July 4, 2003. Some of the

references identified in Form PTO/SB/08a-b were cited in an International Search Report

(ISR), dated May 2, 2005, and an International Preliminary Report on Patentability (IPRP),

dated July 15, 2005, in the underlying PCT Application. The August 29, 2006, Notice of

Acceptance of Application Under 35 U.S.C. 371 and 37 C.F.R. § 1.495 indicates that a copy

of the ISR in the underlying PCT Application has already been received by the United States

Patent and Trademark Office. Therefore, no copy of the ISR is provided herewith. Since the

same Notice of Acceptance of Application does not indicate that a copy of the IPRP has been

received by the United States Patent and Trademark Office, a copy is provided herewith.

Pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed to be due for the filing of

this Information Disclosure Statement as it is being submitted before a first Office Action on

the merits. Nevertheless, the Commissioner for Patents is hereby authorized to charge any

additional fees which may be required to Deposit Account No. 23-0650. One (1) original and

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two (2) copies of this Information Disclosure Statement are enclosed.

Respectfully submitted,

THE WEBB LAW FIRM

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PTO/SB/08a (07-05)

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Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known bstitute for form 1449A/PTO 10/553,519 Application Number INFORMATION DISCLOSURE Filing Date August 1, 2006 STATEMENT BY APPLICANT Georg N. Duda First Named Inventor Art Unit 3736 (Use as many sheets as necessary) **Examiner Name** Not Yet Assigned 5312-053065 Attorney Docket Number 2 Sheet 1 of

	U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number - Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	US- 4,655,227	04-07-1987	GRACOVETSKY	
	2.	US- 6,205,411	03-20-2001	DIGIOIA, III et al.	<u> </u>
	3.	US- 2002/0009222 A1	01-24-2002	MCGIBBON et al.	
		US-	-		
		US-			
		US-	<u> </u>	<u> </u>	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	4.	DE 297 19 250 U1	10/30/1997	NEUMANN		
	5.	WO 01/73689	10/04/2001	MCGIBBON et al.		
	6.	WO 03/001483	01/03/2003	RIENER et al.		
	7.	WO 03/090022	10/30/2003	NOBLE et al.		

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials* Cite		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	8.	TECHTRAN LTD., "Osteotomy Analysis Simulation system; Oasis - A Boon To Osteoarthritis Patients", Japan Health Care Industry News, News clips From Industry Papers, November 1998, pp. 1-3.		
	9.	BIOMECHANICS RESEARCH LABORATORY, "Pre-Operative Planning For Knee Osteotomies", Knee Osteotomy Planning November 4, 2005.		
	10.	SIEGMAR BLUMENTRITT, "Relation Between The Human Gait And The Hip Joint Design In Frontal Plane", Gegenbaurs morphol. Jahrb. ISSN 0016-5840, Leipzig 136 (1990) 6, pp. 677-693.		
	11.	G. BERGMANN et al., "Hip Contact Forces And Gait Patterns From Routine Activities", 2001, pp. 859-871, Journal of Biomechancis, vol. 34.		
	12.	M.O. HELLER et al., "Musculo-Skeletal Loading Conditions At The Hip During Walking And Stair Climbing", 2001, pp. 883-893, Journal of Biomechancis, vol. 34.		
	13.	GABOR FICHTINGER et al., "The Surgical CAD/CAM Paradigm And An Implementation For Robotically-Assisted Percutaneous Local Therapy", 2001, pp. 3-8, Center for Computer-Integrated Surgical Systems and Technology, Johns Hopkins University, Baltimore, Brady Urological Institute, Johns Hopkins University, Baltimore.		
	14.	RUSSELL H. TAYLOR et al., "An Image-Directed Robotic System For Precise Orthopaedic Surgery", June 1994, pp. 261-275, IEEE Transactions On Robotics And Automation, vol. 10, no. 3.		
	15.	A. GUEZIEC et al., "Anatomy-Based Registration Of CT-Scan And Intraoperative X-Ray Images For Guiding A Surgical Robot", October 1998, pp. 715-728, IEEE Transactions On Medical Imaging, vol. 17, no. 5.		
	16.	J. BABISCH et al., "Biomechanisch Fundierte Hüftoperationsplanung mit Hilfe des Softwaremoduls EndoMap", 2002, pp. 39-45, Electromedica, vol. 70.		
	17.	International Preliminary Report on Patentability dated July 15, 2005.		

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.